

Attachments to Narrative Appendix styled
"Park Towers: 'The Contact at HUD'; Dean's Knowledge of
Mitchell's Involvement; the Post-Allocation Waiver;
and the Eli Feinberg Testimony"

1. Martin Fine Memorandum of July 31, 1985
2. Park Towers Chronology, with attached Martin Fine Memoranda of February 5, 1986, March 4, 1986, April 5, 1986, and letter from Fine to Eli Feinberg dated February 14, 1986
3. August 20, 1993 letter from Associate Independent Counsel Robert E. O'Neill and Paula A. Sweeney to Stephen V. Wehner
4. August 29, 1993 letter from Associate Independent Counsel Robert E. O'Neill and Paula A. Sweeney to Stephen V. Wehner
5. Listing of Jencks Material provided by the Office of Independent Counsel
- 5a. Report of Interview of Eli Feinberg on May 15, 1992
- 5b. Report of Interview of Richard Shelby on May 19, 1992
- 5c. Report of Interview of Aristides Martinez on May 18, 1992
- 5d. June 5, 1986 letter from Richard Shelby to Eli Feinberg
6. OIC 's Park Towers Chart
7. Revised Presentence Investigation Report, pp. 1, 6, 47 (Feb. 7, 1994)

MEMORANDUM

TO: The File
FORM: Martin Fine
DATE: July 31, 1985
RE: Sale of Park Towers

Attached is a memo, rather a telephone message from Eli Feinberg, on this date. He advised that Lance Wilson will be confirmed as FHA Commissioner/Assistant Secretary of HUD.

He feels that this will work well in our favor and also reported that our friend was meeting with the contact at HUD this coming week. I brought Eli up to date in connection with our recent letter and other correspondence with HUD in which I sent them a copy of the deed indicating control. I told him I thought that several of the other applicants would be weeded out because of their delinquent status.

CA143

PARK TOWERS CHRONOLOGY¹

- 12/5/83 Martin Fine writes to Melvin Adams of Dade County PHA suggesting conversion of Park Towers to a moderate rehabilitation project.
- 10/29/84 Moderate rehabilitation application filed.
- 7/11/85 Revised consulting agreement between Martin Fine and Eli Feinberg.
- 7/24/85 Fine writes Perez with 7/18/85 memo from Marti to Adams of Metro Dade which Fine reads to indicate that "our project is really very much in line."
- 7/30/85 Lance Wilson sends Phone-O-Gram to Feinberg.
- 7/31/85 Fine writes memo to file attaching memo from Feinberg stating that Lance Wilson will be confirmed as Assistant Secretary for Housing/FHA Commissioner and that this is a favorable development.
- 11/27/85 HUD sends Dade County 266 mod rehab units.
- 11/27/85 Keefe Company sends invoice to Fine for \$45,000.
- 2/3/86 Shelby tells Feinberg that Shelby had conversation with his friend at HUD; she requested certain information about the length of ownership of the property, etc.
- Shelby sends Dean copy of materials indicating that she should receive another copy in a day or so.
- 2/5/86 Fine writes that Shelby had meeting "with some people from HUD" and describes the problem of using mod rehab funds when there is a Section 312 loan on the property. Shelby gives name of DeBartolomeis to whom Metro Dade should send request for waiver.
- 2/13/86 Adams of Metro Dade writes DeBartolomeis requesting a waiver.
- 2/21/86 Feinberg states Shelby has spoken to "his friend at HUD during the day and was assured that they would promptly be sending a waiver to Mel Adams granting the waiver which he requested."
- 3/4/86* Mel Adams has met "with the gentleman from Washington

¹ This is a previously prepared document that is not intended to be comprehensive. Asterisks indicate underlying documents are attached. These were the only such documents readily available.

(De Bartolomeis)..." Adams advised that "he had a very productive meeting with De Bartolomeis and that he was advised by him that he would be granting a waiver on both of these items. He said that it might take a little longer than he had anticipated because of the D3 mortgage but that basically, he would be granting both waivers."

- 3/10/86 Shelby advises Fine "that he in turn had met with DeBartolomeis who advised him that he would approve the waiver subject only to the two mortgages being paid off prior to the first payment."
- 3/18/86 Adams supplements his waiver request to DeBartolomeis.
- 4/14/86 DeBartolomeis signs waiver on 312 issue.
- 4/15/86* Shelby advises Fine that DeBartolomeis said waiver was on the way.
- 5/28/86 DeBartolomeis signs waiver on Section 282.401(c)(2).
- 6/12/86 Fine records discussion with Shelby about assistance in securing waiver.
- 6/16/86 Shelby tells Fine that they had a shot at getting another waiver.
- 6/16/86 Fine writes Shelby regarding items that might be included in "'hypothetical letter' to appropriate person."
- 7/21/86 Fine notes that Shelby has spoken to his friend in Jacksonville.
- 9/10/86 Fine letter to Shelby indicates that Fine met "your good friend Jim Chaplin."
- 11/19/86 Shelby tells Fine that "his friend Silvio had a good meeting in Washington with Mr. Chaplin."
- 12/3/86 Fine writes that "Rich Shelby called me and said that he had had a meeting with Silvio... Rick feels certain that the request we have previously made will be granted... He will follow up and keep Silvio posted and likewise keep me posted."



OFFICE OF INDEPENDENT COUNSEL

444 NORTH CAPITOL STREET SUITE 519
WASHINGTON, D.C. 20001

August 20, 1993

BY HAND

Stephen V. Wehner, Esq.
513 Capitol Court, N.E.
Suite 200
Washington, D.C. 20002

Re: United States v. Dean, 92-181 (TFH)

Dear Mr. Wehner:

As we have stated on many occasions, the Government recognizes its obligation to provide discovery to the defense pursuant to the Court's discovery orders, Rule 16 of the Federal Rules of Criminal Procedure, and Brady v. Maryland, 373 U.S. 83 (1963). Although we believe that most of the following material is not exculpatory of defendant Dean, nonetheless, in an abundance of caution, the Government provides the following information:

1. Dubois Gilliam stated that he believes that Thomas Demery had the final say on the distribution of Mod Rehab units for the Terre Mark project.
2. Janice Golec stated that Deborah Dean cautioned her not to get anywhere near the Patriots Group project. She also stated that many people were involved in the Mod Rehab units awards decision. Deborah Dean, the Assistant Secretary of Housing, possibly the Under Secretary of Housing, and the Deputy Assistant Secretary for Multi-Family Housing were all involved in the decision. Golec further stated that Maurice Barksdale, Deborah Dean or Lance Wilson, the Deputy Assistant Secretary, Silvio DeBartolomeis, a General Counsel, and the Under Secretary (if an Under Secretary was in place, it was Philip Abrams for a time) made the Mod Rehab funding decisions. Golec advised that, by mid- to late 1984 she understood that Deborah Dean, Secretary Pierce, the General Counsel, the Under Secretary, and the Assistant

ATTACHMENT 3

Secretary of Housing were involved in the Mod Rehab decision-making process in some fashion. In addition, Golec said that, shortly after Golec attended a lunch with Marion Pines and James Lomenick, Deborah Dean called Golec into her office and reprimanded Golec for attending the luncheon because it might give the city of Baltimore the wrong impression.

3. J. Michael Dorsey stated that Deborah Dean did speak for Secretary Pierce on occasion, or at least said that she did.
4. Maurice Barksdale stated that Deborah Dean never instructed him to fund particular public housing authorities. Barksdale also stated that, as Assistant Secretary for Housing, he had been responsible for making decisions concerning Mod Rehab allocations, and he denied that Deborah Dean or anyone else had made any of those decisions. Barksdale acknowledged that requests came from the Secretary's office concerning allocations of Mod Rehab units, but he stated that those requests never were in the form of a demand. Barksdale further stated that the Mod Rehab selection committee usually met in his office or in a conference room. Deborah Dean definitely would have known of the committee and, in fact, may have attended one or two meetings to present information relative to the funding of certain public housing authorities.

Barksdale stated that Dean could have discussed with Barksdale about Mod Rehab units going to Jacksonville, Florida. She would have said that "the Secretary was interested." She would have asked Barksdale about the status of the units. Barksdale would have responded that he would check with the HUD Secretary about the request. Dean would then have dropped the subject.

Barksdale stated that, in addition to input from Barksdale's staff, Pierce's Executive Assistants Lance Wilson and Deborah Dean frequently contacted Barksdale and indicated that a politician, consultant, or developer had an interest in a specific project. According to Barksdale, Dean and Wilson represented that they were acting on Pierce's behalf.

Barksdale stated that, when Deborah Dean would call him, sometimes she would say that she was

calling on the Secretary's behalf and sometimes she would just call herself, but that, any time she called, Barksdale assumed that she was calling on behalf of the Secretary's office because she was an Executive Assistant and reported to the Secretary. Barksdale also said that, if he was asked to consider a funding situation that his staff had recommended against or there was no way in the world that it legitimately could be put together or worked out, he would ask to speak to the Secretary and would ask the Secretary whether in fact Deborah Dean was really representing him; according to Barksdale, in many situations the Secretary would say yes.

5. Pamela H. Patenaude stated that she did not believe Deborah Dean to be heavily involved in the allocations of Mod Rehab units during Maurice Barksdale's tenure. Patenaude also stated that Deborah Dean commanded a great deal of authority and made it a regular habit to invoke the Secretary's name when she was directing people to take certain actions. In addition, Patenaude said that at all times Deborah Dean invoked the name of the Secretary when she ordered decisions on Mod Rehab funding and that such was the authority that she used to make the funding decision.
6. Richard Giegengack stated that the funding of the Mod Rehab program was at the discretion of HUD Secretary Samuel Pierce. Dean and two other individuals, whom he could not identify by name or title, had authority and delegation from Pierce in dispensing units of the Mod Rehab program.
7. Shirley McVay Wiseman stated that Dean routinely said that the Secretary wanted the Durham Hosiery Mill project funded and that, on a weekly basis, Dean would follow up with her on any progress she had made. She stated that eventually several weeks had passed when she received an unsolicited phone call from Secretary Pierce himself. Wiseman said that Pierce asked her, in substance, whether Dean had been talking to Wiseman about the Durham Hosiery Mill project. Furthermore, Wiseman advised that, with regard to the Durham Hosiery Mill project, Dean would routinely suggest that either the Secretary wanted it funded or "we want it funded," and that Dean intended that to mean that both she and the Secretary wanted the project funded.

8. Silvio DeBartolomeis stated that, during the tenures of Barksdale, Wiseman, and Hale as Assistant Secretaries for Housing, he was not aware of any Mod Rehab funding selection committee and he did not believe that there were any "behind the scenes" decisions being made but instead believed that these individuals used the fair share criteria for 85% of the Mod Rehab funding and that, as in most programs, 15% of the Mod Rehab funds available were discretionary. DeBartolomeis further advised that it was his impression that Dean had first become involved in Mod Rehab funding decisions when he (DeBartolomeis) had become Acting General Deputy Assistant Secretary. He also stated that he once advised Dean that, because he was the Acting FHA Commissioner, the decision-making authority on Mod Rehab projects should be his and his alone and that Dean responded that he was only the Acting FHA Commissioner and that, in fact, Secretary Pierce was making funding decisions until an Assistant Secretary for Housing/FHA Commissioner was appointed.

DeBartolomeis stated that, in connection with Deborah Dean's having initiated a Mod Rehab funding round in DeBartolomeis's absence (when he was in Geneva, Switzerland), Dean replied that she did it for the Secretary, who wanted it done.

9. Starr Eckert stated that Dean wielded a lot of power at HUD and often used the Secretary's name, with or without his knowledge.
10. Stuart Davis stated that both Lance Wilson and Deborah Dean would invoke the Secretary's name on frequent occasions and suggest that the Secretary wanted a particular funding action taken. He also said that both Wilson and Dean would suggest directly that they were speaking for the Secretary in matters relating to funding decisions and that neither Abrams nor Barksdale had a relationship with Pierce that would have allowed them to directly question Pierce as to his position on these funding matters. Davis further stated that it was typical for Wilson or Dean to say that the Secretary wanted this particular project funded and that Abrams and Barksdale did not seek to confirm these particular directions with Secretary Pierce.

In addition, Davis said that Mod Rehab units usually were awarded after Maurice Barksdale received a telephone call from someone in the Secretary's office, usually Deborah Dean or Thomas Demery, to fund a specific project. Davis also said that, while, technically, Barksdale's signature was required for all funding decisions related to Section 8 allocations, funding decisions actually were made by someone in the Secretary's office (Lance Wilson - 4/84 or Deborah Dean) or someone in the Under Secretary's office (Philip Winn).

Davis further stated that he recalled several instances in which either Deborah Dean or Lance Wilson called or met with Maurice Barksdale to tell Barksdale that Secretary Pierce wanted certain projects funded, and that on several occasions he (Davis) was in the room with Barksdale when either Wilson or Dean came in and communicated that.

11. Thomas Casey stated that Lance Wilson did not cite Secretary Pierce's name the way that Deborah Dean later did. Casey also stated that he believed that some of the other Special Assistants believed Dean when she said that the White House or Secretary Pierce wanted various things done, but that he (Casey) did not believe her.
12. Thomas Demery stated that he once asked Pierce how he (Demery) would know when there was a particular Mod Rehab effort that Pierce was interested in and that Pierce replied that he would speak through Dean.

Demery also said that he served as the key advisor to the Secretary on all federal housing policy issues and was responsible for the control and distribution of substantial amounts of annual funding authority that are utilized to produce and rehabilitate additional assisted housing and to provide housing subsidy assistance to eligible households under a variety of different programs.

13. Eli Feinberg stated that he recalled conversations during which Richard Shelby said that Shelby had made telephone calls and had visited people in connection with seeking Mod Rehab funding for Park Towers. DeBartolomeis was one of the people with whom Shelby spoke. Feinberg also stated that he became aware that Shelby and Dean were good

friends and that Shelby would check with Dean on the status of how things were going through the bureaucracy regarding Park Towers.

14. Marion Pines stated that Janice Golec and Deborah Dean were not involved in Uplift.
15. Lory G. Breneman stated that she attempted to locate the financial disclosure form submitted by Deborah Gore Dean when she was a Presidential nominee in 1987, but that she (Breneman) was unable to find the form after reviewing all of the files submitted to Archives by the U.S. Senate Committee for Banking, Housing, and Urban Affairs (Senate Banking Sub-Committee), plus additional files submitted to Archives.

Breneman stated she contacted Archives and requested that someone look for Deborah Dean's file and that, shortly thereafter, was told that Dean's file was missing.

16. Andrew C. Sankin stated that he understood that the Secretary was the decision-maker as far as where Mod Rehab units went and would use the discretionary nature of the program in such a way as to further his own agenda. Sankin also said that he understood that, as Executive Assistant to the Secretary, Dean was the Secretary's alter ego and that she "would have great impact on the Secretary's discretion."
17. Sherrill Nettles Hawkins stated that Mod Rehab funding decisions were made by the Assistant Secretary for Housing and the Secretary, and that Deborah Dean acted on behalf of the Secretary.
18. Claude Dorsy stated that he believed that, at some point in time, Lou Kitchin indicated that he was working with Thomas Demery.
19. Louis L. Kitchin stated that Deborah Dean did not provide any personal favors to him relative to HUD business. Kitchin was reminded that he previously had stated that Dean had interceded on the Woodcrest project. Kitchin then said that Dean had done nothing more on the Woodcrest project than to take it through the normal course at HUD. According to Kitchin, Jim Hamernick's office made the decision to fund the Woodcrest project. Kitchin advised that Hamernick was the head of the Office of Multi-Family Housing and that Kitchin

did not believe that Hamernick would have accommodated a request from Dean that the project be funded. Although Kitchin acknowledged that it could be perceived that he did a favor for Dean in providing the money to her, he denied that he provided the money to Dean in return for any official acts. Kitchin further stated that he perceived that there were approximately five persons on the Mod Rehab allocation committee at HUD Headquarters and that Dean sat on the committee in Secretary Pierce's absence.

20. Richard D. Shelby stated that, at some point in 1985, he became aware that there was a panel or committee that reviewed applications for Mod Rehab units and that the Under Secretary, the Assistant Secretary for Housing, and the Executive Assistant to the Secretary made up the panel. According to Shelby, the panel made specific recommendations to the Secretary regarding allocations of Mod Rehab units. Moreover, Shelby continued that the Secretary made the ultimate decision regarding Mod Rehab funding but that he usually (about 98% of the time) acted on the recommendations of the panel. Shelby also stated that, in connection with Eli Feinberg's remark that "our friend was meeting with the contact at HUD this coming week," Shelby believed that "the contact at HUD" meant Silvio DeBartolomeis rather than Deborah Dean, because, as of August 1985, most of Shelby's contacts regarding Park Towers had been with DeBartolomeis, and that these contacts had been with DeBartolomeis alone, although they were not behind closed doors. In addition, Shelby said that Deborah Dean, Silvio DeBartolomeis, and the Under Secretary were on the panel that made the decision to award Mod Rehab units to Park Towers.

Shelby further said that, to his knowledge, Deborah Dean was not aware that John Mitchell was involved in the Park Towers project.

21. Rickie Kent Price stated that, during a telephone conversation with Silvio DeBartolomeis, DeBartolomeis advised Price that he (DeBartolomeis) no longer was the final authority on Mod Rehab funding decisions, that "no one in this office is," and that the decision was going to go to the tenth floor and that Deborah Dean would have the final say.

31. George Ramonas stated that, at some point, he knew that Deborah Dean was on a committee (although he did not know whether the committee was formed pursuant to statute or regulation or was otherwise a formalized committee) and that she had great impact on whatever went on in the Department, as did the Secretary and the Under Secretary and the housing person.
32. Rosalie DeBartolomeis stated that, in response to an inquiry concerning whether HUD could assist the State of Florida, Deborah Dean told her that DeBartolomeis would have to contact Thomas Demery because Dean did not have that authority.

Very truly yours,

Robert E. O'Neill

Robert E. O'Neill
Paula A. Sweeney
Associate Independent Counsel

OFFICE OF INDEPENDENT COUNSEL

444 NORTH CAPITOL STREET SUITE 519
WASHINGTON, D.C. 20001

August 29, 1993

By FAX and MAIL

Stephen V. Wehner, Esq.
513 Capitol Court, N.E.
Suite 200
Washington, D.C. 20002

Re: United States v. Dean, 92-181 (TFH)

Dear Mr. Wehner:

In response to your letter of August 24, 1993, concerning the dates upon which the Office of Independent Counsel ["OIC"] received the information contained in our letter of August 20, 1993, the Government provides the following information:

1. Dubois Gilliam provided the referenced information to the OIC on March 13, 1992.
2. Janice Golec provided the referenced information to the OIC on June 10, 1991 and October 2, 1991.
3. J. Michael Dorsey provided the referenced information to the OIC on September 17, 1991.
4. Mary R. Maher provided the referenced information to the OIC on November 26, 1991.
5. Maurice Barksdale provided the referenced information to the OIC on October 24, 1991, June 28, 1992, June 29, 1992, and March 22, 1993.
6. Pamela Patenaude provided the referenced information to the OIC on July 13, 1990 and November 2, 1990.
7. Richard Giegengack provided the referenced information to the OIC on November 4, 1991.
8. Ross Kumagai provided the referenced information to the OIC on January 30, 1991.

ATTACHMENT 4

9. Shirley McVay Wiseman provided the referenced information to the OIC on August 21, 1990.
10. Silvio DeBartolomeis provided the referenced information to the OIC on June 14, 1990, November 8-12, 1992, and January 17-18, 1993.
11. Starr Eckert provided the referenced information to the OIC on July 26, 1990.
12. Stuart Davis provided the referenced information to the OIC on August 3, 1990, February 12, 1993, and March 12, 1993.
13. Thomas Casey provided the referenced information to the OIC on February 11 and 14, 1991, March 1, 1991, and May 22, 1991.
14. Thomas Demery provided the referenced information to the OIC on August 20, 1990 and July 25, 1992.
15. Eli Feinberg provided the referenced information to the OIC on May 18, 1992.
16. Marion Pines provided the referenced information to the OIC on May 7, 1992.
17. Lory G. Breneman provided the referenced information to the OIC on June 24, 1992 and September 15, 1992.
18. Andrew Sankin provided the referenced information to the OIC on April 30, 1992.
19. Sherrill Nettles Hawkins provided the referenced information to the OIC on September 9, 1992.
20. Claude Dorsy provided the referenced information to the OIC on May 15, 1992.
21. Louis Kitchin provided the referenced information to the OIC on April 13-14, 1992.
22. Richard Shelby provided the referenced information to the OIC on April 8, 1992 and June 4, 1992.
23. Jimmy Bell provided the referenced information to the OIC on June 2, 1993.
24. Rickie Kent Price provided the referenced information to the OIC on November 14, 1991.
25. George Ramonas provided the referenced information to the OIC on April 9, 1992.

26. Rosalie DeBartolomeis provided the referenced information to the OIC on May 26, 1992.

Very truly yours,

Robert E. O'Neill

Robert E. O'Neill
Paula A. Sweeney
Associate Independent Counsel

Jencks Files for Government's First Week Witnesses

Adams, Melvin	3500-MA-1	ROI 1/16/92
	3500-MA-2	FBI/OIG 3/23/88
	3500-MA-3	Letter of Resignation 6/2/86
	3500-MA-4	Florida Grand Jury Report 5/13/86
Barksdale, Maurice	3500-MB-1	Interview Report 10/24/91
	3500-MB-2	Interview Report 4/20/92
	3500-MB-3	Interview Report 6/28/92
	3500-MB-4	Grand Jury 6/29/92
	3500-MB-5	FBI Interview 1/23/90
	3500-MB-6	Interview 12/16/88
	3500-MB-7	Interview 10/14/83
	3500-MB-8	Interview 10/21/83
	3500-MB-9	Deposition 11/20/84
Brennan, John	3500-JB-1	Grand Jury 3/10/92
	3500-JB-2	Interview Report 5/29/92
	3500-JB-3	Interview 2/20/87
	3500-JB-4	Interview 2/19/87
Calabrese, Thomas	3500-TC-1	Interview Report 5/14/92
	3500-TC-2	Interview 11/2/88
	3500-TC-3	Interview 4/13/88
Greer, Chris	3500-CG-1	Interview Report 5/16/91
	3500-CG-2	Grand Jury 12/11/90
	3500-CG-3	Grand Jury 11/2/90
Marti, Mario	3500-MM-1	Interview Report 5/15/92
	3500-MM-2	Interview 4/13/88
	3500-MM-3	Interview 6/30/88
Martinez, Aristides	3500-AM-1	Interview Report 5/15/92
	3500-AM-2	Interview 11/8/88
	3500-AM-3	Interview 6/21/88
Nunn, Louis	3500-LN-1	Interview 12/12/88
	3500-LN-2	Grand Jury 4/16/92
Sharifi, Patricia	3500-PS-1	Interview Report 5/14/92
	3500-PS-2	Interview 4/13/88
	3500-PS-3	Interview 11/2/88
	3500-PS-4	Interview 9/8/88

Jencks Material Produced 9/9/93

Feinberg, Eli	3500-EF-1	Interview Report 5/18/92
Fine, Martin	3500-MF-1	Interview 11/7/88
	3500-MF-2	Interview Report 5/13/92
	3500-MF-3	Interview 7/18/88
Gauvry, Frank	3500-FG-1	Interview Report 11/5/92
	3500-FG-2	Interview Report 9/25/92
Hastings, Madeleine	3500-MH-1	Interview Report 12/9/92
	3500-MH-2	Interview Report 5/7/92
	3500-MH-3	Interview Report 4/1/92
	3500-MH-4	Interview Report 1/7/92
	3500-MH-5	Interview Report 6/13/90
	3500-MH-6	Grand Jury 3/10/93
	3500-MH-7	Trial Testimony 1/13/93
	3500-MH-8	Interview 7/26/89
Mitchell, Martha	3500-MM-1	Interview Report 5/8/92
	3500-MM-2	Interview Report 4/20/92
White, David	3500-DW-1	Interview Report 10/26/92
	3500-DW-2	Interview Report 9/29/92
	3500-DW-3	Interview Report 9/25/92
	3500-DW-4	Interview Report 7/16/92
	3500-DW-5	Interview Report 7/14/92
	3500-DW-6	Interview Report 3/13/92
	3500-DW-7	Interview Report 3/11/92
	3500-DW-8	Interview Report 1/30/92
	3500-DW-9	Interview 12/17/87
Wiseman, Shirley	3500-SW-1	Interview Report 8/21/90
	3500-SW-2	Interview (undated)
	3500-SW-3	Interview 12/4/85

Jencks Files for Government's First Week Witnesses

Adams, Melvin	3500-MA-1	ROI 1/16/92
	3500-MA-2	FBI/OIG 3/23/88
	3500-MA-3	Letter of Resignation 6/2/86
	3500-MA-4	Florida Grand Jury Report 5/13/86
Barksdale, Maurice	3500-MB-1	Interview Report 10/24/91
	3500-MB-2	Interview Report 4/20/92
	3500-MB-3	Interview Report 6/28/92
	3500-MB-4	Grand Jury 6/29/92
	3500-MB-5	FBI Interview 1/23/90
	3500-MB-6	Interview 12/16/88
	3500-MB-7	Interview 10/14/83
	3500-MB-8	Interview 10/21/83
	3500-MB-9	Deposition 11/20/84
Brennan, John	3500-JB-1	Grand Jury 3/10/92
	3500-JB-2	Interview Report 5/29/92
	3500-JB-3	Interview 2/20/87
	3500-JB-4	Interview 2/19/87
Calabrese, Thomas	3500-TC-1	Interview Report 5/14/92
	3500-TC-2	Interview 11/2/88
	3500-TC-3	Interview 4/13/88
Greer, Chris	3500-CG-1	Interview Report 5/16/91
	3500-CG-2	Grand Jury 12/11/90
	3500-CG-3	Grand Jury 11/2/90
Marti, Mario	3500-MM-1	Interview Report 5/15/92
	3500-MM-2	Interview 4/13/88
	3500-MM-3	Interview 6/30/88
Martinez, Aristides	3500-AM-1	Interview Report 5/15/92
	3500-AM-2	Interview 11/8/88
	3500-AM-3	Interview 6/21/88
Nunn, Louis	3500-LN-1	Interview 12/12/88
	3500-LN-2	Grand Jury 4/16/92
Sharifi, Patricia	3500-PS-1	Interview Report 5/14/92
	3500-PS-2	Interview 4/13/88
	3500-PS-3	Interview 11/2/88
	3500-PS-4	Interview 9/8/88

Jencks Material Produced 9/9/93

Feinberg, Eli	3500-EF-1	Interview Report 5/18/92
Fine, Martin	3500-MF-1	Interview 11/7/88
	3500-MF-2	Interview Report 5/13/92
	3500-MF-3	Interview 7/18/88
Gauvry, Frank	3500-FG-1	Interview Report 11/5/92
	3500-FG-2	Interview Report 9/25/92
Hastings, Madeleine	3500-MH-1	Interview Report 12/9/92
	3500-MH-2	Interview Report 5/7/92
	3500-MH-3	Interview Report 4/1/92
	3500-MH-4	Interview Report 1/7/92
	3500-MH-5	Interview Report 6/13/90
	3500-MH-6	Grand Jury 3/10/93
	3500-MH-7	Trial Testimony 1/13/93
	3500-MH-8	Interview 7/26/89
Mitchell, Martha	3500-MM-1	Interview Report 5/8/92
	3500-MM-2	Interview Report 4/20/92
White, David	3500-DW-1	Interview Report 10/26/92
	3500-DW-2	Interview Report 9/29/92
	3500-DW-3	Interview Report 9/25/92
	3500-DW-4	Interview Report 7/16/92
	3500-DW-5	Interview Report 7/14/92
	3500-DW-6	Interview Report 3/13/92
	3500-DW-7	Interview Report 3/11/92
	3500-DW-8	Interview Report 1/30/92
	3500-DW-9	Interview 12/17/87
Wiseman, Shirley	3500-SW-1	Interview Report 8/21/90
	3500-SW-2	Interview (undated)
	3500-SW-3	Interview 12/4/85

Jencks Material Produced 9/10/93

Broussard, Thomas	3500-TB-1	Interview Report 12/11/92
	3500-TB-2	Interview Report 8/30/90
	3500-TB-3	Statement undated
	3500-TB-4	Deposition 6/26/91
	3500-TB-5	Deposition 6/4/86, 7/2/86, 7/3/86
Casey, Thomas	3500-TC-1	Interview Report 5/7/92
	3500-TC-2	Interview Report 3/18/92
	3500-TC-3	Interview Report 3/12/92
	3500-TC-4	Interview Report 1/21/92
	3500-TC-5	Interview Report 7/19/91
	3500-TC-6	Interview Report 7/19/91
	3500-TC-7	Interview Report 2/11/91, 2/14/91, 3/1/91, 5/22/91
	3500-TC-8	Interview Report 12/13/90
	3500-TC-9	Interview Report 12/5-6/90
	3500-TC-10	Interview 1/31/90
	3500-TC-11	Interview 1/22/90
	3500-TC-12	Interview 1/23/90
	3500-TC-13	Interview 8/15/89
	3500-TC-14	Interview 9/12/89
	3500-TC-15	Interview 8/30/89
	3500-TC-16	Interview 5/26/87
	3500-TC-17	Summary Report 6/4/87
	3500-TC-18	Grand Jury 3/24/92
	3500-TC-19	Interview 12/10/87
Hale, Janet	3500-JH-1	Interview Report 8/10/92
	3500-JH-2	Interview Report 5/5/92
	3500-JH-3	Interview Report 3/17/92
	3500-JH-4	Interview Report 2/10/92
	3500-JH-5	Interview Report 10/25/91
	3500-JH-6	Interview Report 7/19/90
	3500-JH-7	Grand Jury 8/11/92
	3500-JH-8	Grand Jury 3/26/92
	3500-JH-9	Interview undated
	3500-JH-10	Interview 1/23/90
	3500-JH-11	Interview 10/17/85
	3500-JH-12	Interview 12/4/85
Nistler, James	3500-JN-1	Interview Report 10/17/90
Rubi, Cleofe	3500-CR-1	Interview 3/2/92
	3500-CR-2	Trial Excerpt 6/17/92
	3500-CR-3	Trial Excerpt 6/18/92
	3500-CR-4	Grand Jury 3/3/92
	3500-CR-5	Letter 10/31/89

Jencks Material Produced 9/13/93

Jaynes, Jack	3500-JJ-1	Interview Report 4/1/92
	3500-JJ-2	Interview Report 2/19/92
	3500-JJ-3	Interview Report 1/10/92
Lomenick, James	3500-JL-1	Interview Report 6/6/91
	3500-JL-2	Grand Jury 8/8/91
Nettles-Hawkins, Sherrill	3500-SNH-1	Interview Report 10/6/92
	3500-SNH-2	Interview Report 9/9/92
	3500-SNH-3	Interview Report 3/19/92
	3500-SNH-4	Interview Report 2/3/92
	3500-SNH-5	Interview Report 1/23/92
	3500-SNH-6	Interview Report 1/21/92
	3500-SNH-7	Interview Report 2/22/91
	3500-SNH-8	Interview Report 8/15/90
	3500-SNH-9	Interview 7/2/85
	3500-SNH-10	Interview 2/13/87
	3500-SNH-11	Letter 12/17/87
	3500-SNH-12	Affidavit 1/23/92
	3500-SNH-13	Grand Jury 2/4/92
Sankin, Andrew	3500-AS-1	Interview Report 4/5/93
	3500-AS-2	Interview Report 5/14/92
	3500-AS-3	Interview Report 5/13/92
	3500-AS-4	Interview Report 4/30/92
	3500-AS-5	Interview Report 4/23/92
	3500-AS-6	Grand Jury 4/30/92
	3500-AS-7	Grand Jury 6/4/92
	3500-AS-8	Deposition 7/30/91
Shelby, Richard	3500-RS-1	Interview Report 6/8/92
	3500-RS-2	Interview Report 5/29/92
	3500-RS-3	Interview Report 5/19/92
	3500-RS-4	Interview Report 5/18/92
	3500-RS-5	Interview Report 4/8/92
	3500-RS-6	Interview 2/19/87
	3500-RS-7	Interview 12/13/88
	3500-RS-8	Interview undated
	3500-RS-9	Grand Jury 6/4/92
	3500-RS-10	Answers to Interrogatories 8/14/90

Jencks Material Produced 9/13/93

Altman, Berel	3500-BA-1	Interview Report 6/8/92
	3500-BA-2	Interview Report 4/27/92
	3500-BA-3	Interview Report 4/9/92
Altman, Steve	3500-SA-1	Report 11/24/87
	3500-SA-2	Letter 10/29/87
	3500-SA-3	Interview 11/23/88
	3500-SA-4	Interview Report 7/14/92
Bazan, Nicholas	3500-NB-1	Interview Report 4/30/92
Dorsy, Claude	3500-CD-1	Interview Report 5/15/92
	3500-CD-2	Interview Report 4/20/92
Jennings, Jack	3500-JJ-1	Interview Report 6/16/92
	3500-JJ-2	Interview Report 6/1-2/92
	3500-JJ-3	Interview Report 4/30/92
	3500-JJ-4	Interview Report 3/31/92
	3500-JJ-5	Interview Report 1/7-8/92
	3500-JJ-6	Interview Report 8/5/91
McLean, Kenneth	3500-KM-1	Interview Report 6/12/92
Mitchell, James	3500-JM-1	Interview 6/20/88
	3500-JM-2	Interview 11/2/88
	3500-JM-3	Interview Report 4/20/92
	3500-JM-4	Interview Report 5/6/92
Moore, Alvin	3500-AM-1	Interview 6/29/88
	3500-AM-2	Interview Report 5/14/92
Reynolds, Ronald	3500-RR-1	Interview Report 3/26/93
	3500-RR-2	Interview 12/17/87
Roizman, Israel	3500-IR-1	Interview undated
	3500-IR-2	Interview 1/4/89
	3500-IR-3	Interview 11/19/88
	3500-IR-4	Interview 11/9/88
	3500-IR-5	Interview Report 1/30/92
Westcott, David	3500-DW-1	Interview Report 7/22/93
Wilson, James	3500-JW-1	Interview Report 1/31/92

Jecnks Material Produced 9/13/93

Dorsey, John	3500-JD-1	Statement undated
	3500-JD-2	Interview Report 7/2/92
	3500-JD-3	Interview Report 9/17/91
	3500-JD-4	Interview 2/20/91
	3500-JD-5	FBI Interview 1/22/90
	3500-JD_6	FBI Interview 1/12/90
	3500-JD-7	Interview 6/22/88
	3500-JD-8	Interview 5/12/88
	3500--JD-9	Report of Investigation 9/25/87
	3500-JD-10	Interview 9/22/87
	3500-JD-11	Special Operational Survey 1/14/88
	3500-JD-12	Testimony 5/25/89
Giegengack, Richard	3500-RG-1	Interview 2/11/87
	3500-RG-2	Interview Report 6/12/92
	3500-RG-3	Interview Report 12/1/6/91
	3500-RG-4	Interview Report 11/4/91
	3500-RG-5	Grand Jury 11/5/91
	3500-RG-6	Grand Jury 12/19/91
Morgan, Bronwyn	3500-BM-1	Interview Report 7/11/91
	3500-BM-2	Interview Report 4/2/91
	3500-BM-3	Interview Report 8/2/90
	3500-BM-4	Interview Report 1/31/92
	3500-BM-5	Interview 12/11/87
Murray, Nancy	3500-NM-1	Interview Report 4/28/92
	3500-NM-2	Interview Report 3/18/92
	3500-NM-3	Interview Report 3/16/92
	3500-NM-4	Interview Report 4/29/91
	3500-NM-5	Interview Report 6/21/90
	3500-NM-6	Interview Report 6/8/90
	3500-NM-7	Grand Jury 5/14/92
	3500-NM-8	Grand Jury 4/21/92
Turpeau, Aaron	3500-AT-1	Interview Report 7/7/92
Winn, Philip	3500-PW-1	Interview Report 4/14/93
	3500-PW-2	Interview Report 2/8/93
	3500-PW-3	Interview Report 1/27/93
	3500-PW-4	Report of Investigation 6/22/82
	3500-PW-5	Report of INvestigation 3/17/82
	3500-PW-6	Report Of Investigation 1/29/82
	3500-PW-7	Interview 1/6/82

	3500-PW-8	Report of Investigation 12/24/81
	3500-PW-9	Interview 11/30/81
	3500-PW-10	Interview 11/16/81
	3500-PW-11	Transcript of Plea 2/9/93
	3500-PW-12	Nominations Testimony 5/27/88
	3500-PW-13	Statement 2/12/81
	3500-PW-14	Interview Report 3/5/93
	3500-PW-15	Interview Report 3/4/93
Gitlitz, David	3500-DG-1	Interview Report 12/1/92
	3500-DG-2	Interview Report 5/3/91
	3500-DG-3	Interview Report 10/19/90
	3500-DG-4	Grand JURY 12/1/92
DeBartolomeis, Silvio	3500-SD-1	Interview Report 4/21/93
	3500-SD-2	Interview Report 11/3/93
	3500-SD-3	Interview Report 11/9/92
	3500-SD-4	Interview Report 11/8/92
	3500-SD-5	Interview Report 10/1/92
	3500-SD-6	Interview Report 9/3/92
	3500-SD-7	Interview Report 6/14/90
	3500-SD-8	Interview undated
	3500-SD-9	FBI Interview 1/12/90
	3500-SD-10	Report of Investigation 10/14/83
	3500-SD-11	Interview 11/21/83
	3500-SD-12	Interview 8/14/87
	3500-SD-13	Interview 8/24/88
	3500-SD-14	Report 5/10/89
	3500-SD-15	Interview 3/9/89
	3500-SD-16	Grand JURY 11/10/92
Golec, Janice	3500-JG-1	Interview Report 6/11/92
	3500-JG-2	Interview Report 5/25/92
	3500-JG-3	Interview Report 5/18/92
	3500-JG-4	Interview Report 3/20/92
	3500-JG-5	Interview Report 3/11/92
	3500-JG-6	Interview Report 1/24/92
	3500-JG-7	Interview Report 12/17/91
	3500-JG-8	Interview Report 11/15/91
	3500-JG-9	Interview Report 10/2/91
	3500-JG-10	Interview Report 6/10/91
	3500-JG-11	Interview Report 9/21/90
	3500-JG-12	Interview 6/17/85
	3500-JG-13	Interview 12/10/85
	3500-JG-14	FBI Interview 2/11/87
	3500-JG-15	Grand Jury 5/28/92
Zagame, Susan	3500-SZ-1	Interview Report 11/18/92
	3500-SZ-2	Interview Report 8/4/92

	3500-SZ-3	Interview Report 6/25/92
	3500-SZ-4	Interview Report 5/6/92
	3500-SZ-5	Interview Report 5/6/92
	3500-SZ-6	Interview Report 7/16/91
	3500-SZ-7	Interview Report 7/10/91
	3500-SZ-8	Interview Report 7/18/90
	3500-SZ-9	Grand Jury 5/7/92
	3500-SZ-10	Grand Jury 1/28/92
	3500-SZ-11	Interview 6/1/88
	3500-SZ-12	FBI Interview 1/12/90
Rosenthal, John	3500-JR-1	Interview Report 5/27/92
	3500-JR-2	Interview Report 1/14/92
Cain, Al	3500-AC-1	Grand Jury 7/7/92
	3500-AC-2	Grand Jury 12/4/92
	3500-AC-3	Grand Jury 6/29/92
Hunt, Louise	3500-KH-1	Interview Report 12/9/92
	3500-KH-2	Interview Report 5/4/92
	3500-KH-3	Interview Report 4/23/92
	3500-KH-4	Interview Report 1/9/92
	3500-KH-5	Interview Report 11/6/91
	3500-KH-6	Interview Report 6/13/91
	3500-KH-7	Interview Report 7/10/90
	3500-KH-8	Grand Jury 12/4/92
Kumagai, Ross	3500-RK-1	Interview 6/14/88
	3500-RK-2	Interview Report 12/3/91
	3500-RK-3	Interview Report 1/30/91
	3500-RK-4	Interview Report 1/30/91
	3500-RK-5	Interview Report 6/5/91
	3500-RK-6	Interview Report 9/6/90
	3500-RK-7	Interview 6/15/88
	3500-RK-8	Interview 1/13/88
Patenaude, Pamela	3500-PP-1	Report of Investigation 9/25/87
	3500-PP-2	Report of Investigation 9/1/87
	3500-PP-3	Interview 11/21/86
	3500-PP-4	Interview 9/21/87
	3500-PP-5	Interview Report 2/3/92
	3500-PP-6	Interview Report 7/30/91
	3500-PP-7	Interview Report 10/25/90
	3500-PP-8	Interview Report 10/24/90
	3500-PP-9	Interview Report 7/13/90
	3500-PP-10	Grand Jury 11/2/90
Kitchin, Louis	3500-LK-1	Interview Report 4/20/92

3500-LK-2	Interview Report 4/21/92
3500-LK-3	Interview Report 4/24/92
3500-LK-4	Interview Report 5/18/92
3500-LK-5	Interview Report 2/5/91
3500-LK-6	Interview Report 4/13/92
3500-LK-7	Interview 11/17/88
3500-LK-8	Testimony undated
3500-LK-9	FBI Interview 11/22/75
3500-LK-10	Report undated
3500-LK-11	FBI Interview 1/12/76
3500-LK-12	Summary 9/15/89
3500-LK-13	Florida Grand Jury 3/22/90
3500-LK-14	FBI Interview 1/12/76
3500-LK-15	Grand Jury 4/21/92

Cushing, R. Hunter	3500-HC-1	Interview Report 7/31/89
	3500-HC-2	Interview 7/8/91
	3500-HC-3	Interview 6/24/91
	3500-HC-4	Interview 6/26/91
	3500-HC-5	Interview Report 6/16/92
	3500-HC-6	Interview Report 5/18/92
	3500-HC-7	Interview report 5/18/92
	3500-HC-8	Interview Report 6/11/92
	3500-HC-9	Interview Report 3/12/92
	3500-HC-10	Interview Report 3/10/92
	3500-HC-11	Interview Report 3/4/92
	3500-HC-12	Interview Report 3/4/92
	3500-HC-13	Interview Report 1/21/92
	3500-HC-14	Interview Report 11/21/91
	3500-HC-15	Interview Report 7/25/91
	3500-HC-16	Interview Report 7/25/91
	3500-HC-17	Interview Report 7/23/91
	3500-HC-18	Interview Report 7/23/91
	3500-HC-19	Interview Report 7/10/91
	3500-HC-20	Interview Report 5/9/91
	3500-HC-21	Interview Report 4/12/91
	3500-HC-22	FBI Interview 1/26/90
	3500-HC-23	Statement 6/5/85
	3500-HC-24	Interview 12/18/87
	3500-HC-25	Report of Investigation 6/12/85
	3500-HC-26	FBI Summary 6/4/87
	3500-HC-27	FBI Interview 5/27/87
	3500-HC-28	FBI Interview 2/13/87
	3500-HC-29	Grand Jury 5/19/92
	3500-HC-30	Grand Jury 4/30/92
	3500-HC-31	Deposition 12/10/87
	3500-HC-32	Hearing Testimony 6/18/86

Fogle, Charles	3500-CF-1	Interview Report 4/20/92
	3500-CF-2	Interview Report 5/8/92

Demery, Thomas

3500-TD-1	Interview Report 8/20/90
3500-TD-2	Interview Report 11/16/90
3500-TD-3	Interview Report 11/20/90
3500-TD-4	Interview Report 8/15/91
3500-TD-5	Interview Report 10/7/91
3500-TD-6	Interview Report 6/11/93
3500-TD-7	Interview Report 6/21/93
3500-TD-8	Interview Report 6/17/93
3500-TD-9	Affidavit undated
3500-TD-10	Affidavit 2/26/92
3500-TD-11	Deposition 12/3/87
3500-TD-12	Deposition undated
3500-TD-13	Testimony 5/26/88
3500-TD-14	Deposition 1/27/93
3500-TD-15	Statement 5/23/90
3500-TD-16	Statement 5/8/89
3500-TD-17	Statement 5/8/89
3500-TD-18	Statement 5/11/89
3500-TD-19	Testimony 3/3/88
3500-TD-20	Testimony 3/26/87
3500-TD-21	Testimony 6/5/87
3500-TD-22	Statement 11/10/87
3500-TD-23	Statement 5/24/89
3500-TD-24	Affidavit 1/3/90
3500-TD-25	Memorandum 1/27/88
3500-TD-26	Interview 8/14/89
3500-TD-27	Interview 5/2/88
3500-TD-28	Interview 10/4/88
3500-TD-29	FBI Interview 1/3/90
3500-TD-30	FBI Interview 2/26/87
3500-TD-31	Interview 9/22/88
3500-TD-32	Memorandum undated
3500-TD-33	Report undated
3500-TD-34	Memorandum 11/7/88
3500-TD-35	Memorandum 4/8/87
3500-TD-36	Memorandum 8/19/87
3500-TD-37	Report 1/13/87
3500-TD-38	Statement 5/30/90
3500-TD-39	Report undated
3500-TD-40	Statement undated
3500-TD-41	Testimony 5/11/89
3500-TD-42	Superceding Indictment 12/4/92

INTERVIEW REPORT
OFFICE OF INDEPENDENT COUNSELName: Eli M. Feinberg

Telephone interview on May 18, 1992,


Feinberg began his consulting business in 1983. He believed he first met Richard Shelby in 1983 or 1984 through Ron Nathan when Nathan and Shelby were in Miami. Feinberg met Ron Nathan through someone at the law firm Akin, Gump which Feinberg knew from his Capital Hill base. Nathan gave Feinberg a profile on Shelby; he said he was from Oklahoma, he had been a Captain in the Marine Corps, he had been active in Republican party politics, and he was now doing consulting work in Washington, D.C. When Feinberg first met Shelby, Shelby was working on his own but he may have had a partner. Nathan was based in Washington, D.C. at this time, but he is now in New York. Feinberg did not know the nature of the Shelby/Nathan relationship.

Sometime in 1985, Feinberg's associate Marie Petit had dinner or drinks with Martin Fine. Fine asked Petit how he might be able to state his case based on the frustration he had experienced in applying for HUD (U.S. Department of Housing and Urban Development) Section 8 Mod Rehab (Moderate Rehabilitation) funding for his apartment building known as Park Towers in Miami, but which he had never been able to get. Petit suggested that Fine

call Feinberg, and discuss the matter directly with Feinberg.

Fine and Feinberg thereafter had discussions about the matter. They first talked towards the middle of 1985, around May, June, or July. They talked in general about dealing with the system, including the Dade County Department of Housing and Urban Development (DCDHUD), and the bureaucracy of HUD. Fine asked Feinberg if he would like to become involved in helping him to seek Mod Rehab for Park Towers. Feinberg suggested that Fine deal with a lobbying firm or a law firm with experience in HUD matters. Feinberg stated that he would work the local end as far as coordinating correspondence and other matters.

Fine asked Feinberg to suggest a firm they could work with. Feinberg suggested Richard Shelby and The Keefe Company (TKC), Washington, D.C. By that time, Shelby had sent out announcements of his association with TKC. Feinberg was acquainted with the principals of TKC, Buddy James, Bob Keefe, and Terry O'Connell. James had been Chief Counsel with the City of Cleveland. Feinberg knew Keefe from Democratic politics. He thought they were all knowledgeable people.

After that, Feinberg did not recall if Fine went to Washington, D.C. to discuss the matter with TKC or if it was initially discussed during a telephone conference call. Feinberg did recall a telephone conference call, however, during which Fine explained to Shelby and others at TKC a little about himself and Park Towers, the chronology of applications for Mod Rehab for Park Towers, and his experience of frustration in not being able to succeed in getting the desired funding for this meritorious project. After some discussions, Fine agreed to retain Feinberg, Shelby, and TKC. At some point, Fine suggested that Feinberg head up the effort, but Feinberg deferred to TKC. Fine knew the people at the Regional HUD office, the Jacksonville HUD office, and at the DCDHUD, so he agreed to deal with these offices himself.

Shelby indicated that he knew some of the people at HUD headquarters to whom he could relate Fine's story and who would take a look at Fine's project. Feinberg recalled that the first name of one of these people was Silvio, whom he acknowledged was Silvio DeBartolomeis. Feinberg did not recall if Shelby mentioned this during the first telephone conference call, or if it was during the course of later conversations. Feinberg was aware that Deborah Gore Dean's name came up as a person Shelby also knew, but Feinberg did not recall during what conversation.

The initial agreement was for a fee of \$150,000 which Feinberg was supposed to divide with TKC. Feinberg recalled a discussion with Shelby and James during which it was explained to Feinberg that TKC did not get paid for work on projects strictly based on success, and they needed a retainer. TKC took over renegotiation of the fee, and Feinberg acknowledged that the new